



**Bescheinigung**

**Certificate**

**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten internationalen Patentanmeldung überein.

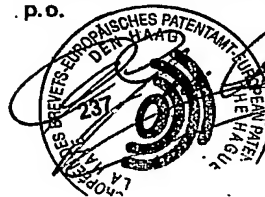
The attached documents are exact copies of the international patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet international spécifiée à la page suivante.

Den Haag, den  
The Hague,  
La Haye, le

14 DEC 2004

Der Präsident des Europäischen Patentamts  
Im Auftrag  
For the President of the European Patent Office  
Le Président de l'Office européen des brevets  
p.o.



**C. v.d. Aa-Jansen**

Patentanmeldung Nr.  
Patent application no.  
Demande de brevet n°

PCT/EP 03/50923

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

---

**Anmeldung Nr.:** PCT/EP 03/50923  
**Application no.:**  
**Demande n°:**

**Anmelder:**  
**Applicant(s):**  
**Demandeur(s):**

1. N.V. BEKAERT S.A. - Zwevegem, Belgium
2. VENKATRAMAN, Chandra - Williamsville, United States of America (US only)
3. MAJERONI, Keith - Newstead, United States of America (US only)

**Bezeichnung der Erfindung:**  
**Title of the invention:**  
**Titre de l'invention:**

A LAYERED STRUCTURE

**Anmeldetag:**  
**Date of filing:**  
**Date de dépôt:**

02 December 2003. (02.12.2003)

**In Anspruch genommene Priorität(en)**  
**Priority(ies) claimed**  
**Priorité(s) revendiquée(s)**

<b>Staat:</b>	<b>Tag:</b>	<b>Aktenzeichen:</b>
<b>State:</b>	<b>Date:</b>	<b>File no.</b>
<b>Pays:</b>	<b>Date:</b>	<b>Numéro de dépôt:</b>

**Benennung von Vertragsstaaten :** Siehe Formblatt PCT/RO/101 (beigefügt)  
**Designation of contracting states :** See Form PCT/RO/101 (enclosed)  
**Désignation d'états contractants :** Voir Formulaire PCT/RO/101 (ci-joint)

**Bemerkungen:**  
**Remarks:**  
**Remarques:**

Further applicant:

4. KESTER, Daniel - Williamsville, United States of America (US only)

## PCT REQUEST

Duplicate of original printed on Tuesday, 02 December, 2003 02:41:01 PM

V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT <b>BW</b> <sup>^</sup></p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT <sup>^</sup> <b>RO</b></p> <p>EP: AT BE BG CH&amp;LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&amp;LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW <b>BW</b> <sup>^</sup></p>
V-5	Precautionary Designation Statement  In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI	Priority claim	NONE
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)

## **A LAYERED STRUCTURE**

### **Field of the invention.**

5 The invention relates to a layered structure comprising a first intermediate layer comprising at least one element of group IVB, VB or IVB, a second intermediate layer comprising a diamond-like nanocomposite composition and a diamond-like carbon layer.

The invention further relates to a substrate covered at least partially with such a layered structure and to a method to cover a substrate with such  
10 a layered structure.

### **Background of the invention.**

It is generally known to coat substrates with a diamond-like carbon coating (DLC). DLC coatings are amorphous hydrogenated carbon films  
15 (a-C:H) characterised by a high hardness, a low coefficient of friction and excellent wear resistance.

However, because of the high compressive residual stresses in the coating, the adhesion of DLC coatings to the substrate is often too poor. This is an important drawback of DLC coatings, limiting the use of DLC  
20 coatings for certain applications.

Many attempts have been made to improve the adhesion of a DLC coating to the substrate for example by using an intermediate layer between the substrate and the DLC coating.

25 An example of such an attempt comprises the use of a diamond-like nanocomposite (DLN) layer as intermediate layer between the substrate and the DLC layer as described in WO 98/33948.

Diamond-like nanocomposite coatings comprise C, H, O and Si. Generally, DLN coatings comprise two interpenetrating networks a-C:H and a-S:O. DLN coating are commercially known as Dylun<sup>®</sup> coatings.  
30

Also the use of a titanium or a titanium-based intermediate layer between the substrate and a DLC layer is known in the art to improve the adhesion of a DLC coating to a substrate.

35 For this type of layered structures a gradual change from a titanium or titanium-based layer to a DLC layer is required.

Therefore, first a titanium or a titanium-based layer is deposited on a substrate by means of a PVD process, subsequently DLC is deposited on the titanium or titanium-based layer. The composition of the titanium or titanium-based layer is thereby gradually changed from a titanium or titanium-based layer to a DLC layer.

However, a serious problem of the use of titanium or titanium-based interlayers is the target poisoning of the titanium target. Because of this target poisoning, the transition between the titanium or titanium-based layer and the DLC layer is not reproducible.

Another disadvantage of the application of a layered structure of a titanium or titanium-based layer and a DLC coating is the poor corrosion protection that is obtained.

This is the consequence of the bad surface coverage (line-of-sight locations) on for example the backside surfaces of a substrate to be coated. These surfaces are not exposed to the beam of titanium atoms.

#### **Summary of the invention.**

It is an object of the present invention to provide a layered structure comprising a DLC coating having an improved adhesion to a substrate. It is another object of the invention to provide a layered structure that may withstand a high load and that is thus suitable for high impact and high shear applications.

It is a further object to provide substrate covered with a structure having an improved surface coverage.

According to a first aspect of the invention, a layered structure is provided.

The layered structure according to the present invention comprises

- a first intermediate layer, comprising at least one element of group IVB, VB or VIB;
- a second intermediate layer deposited on the first intermediate layer, the second intermediate layer comprising a diamond-like nanocomposite composition;

- a diamond-like carbon layer, deposited on said second intermediate layer.

The first intermediate layer

5 The first intermediate layer comprises at least one element of the group IVB, VB or VIb.

Preferably, the first intermediate layer comprises titanium and/or chromium as for example a titanium layer, a chromium layer, a titanium-based layer or a chromium-based layer.

10 A titanium-based layer may for example comprise a TiC layer, a TiN layer or a TiCN layer. A chromium-based layer may for example comprise a CrN layer or a  $\text{Cr}_3\text{C}_2$  layer.

15 The thickness of the first intermediate layer is preferably between 0.001 and 1  $\mu\text{m}$ . More preferably, the thickness of the first intermediate layer is between 0.1 and 0.5  $\mu\text{m}$ .

20 The first intermediate layer may be deposited by any technique known in the art. Preferred techniques comprises physical vapor deposition techniques as sputtering or evaporating.

The second intermediate layer

The second intermediate layer comprises a diamond-like nanocomposite composition.

25 A diamond-like nanocomposite composition comprises an amorphous structure of C, H, Si and O.

Preferably, the nanocomposite composition comprises in proportion to the sum of C, Si, and O : 40 to 90 %C, 5 to 40 % Si, and 5 to 25 % O (expressed in at%).

30 Preferably, the diamond-like nanocomposite composition comprises two interpenetrating networks of a-C:H and a-Si:O.

The diamond-like nanocomposite coating may further be doped with a metal, such as a transition metal of Group IV to VII. The coating can be

doped to influence the conductivity of the coating. W, Zr and Ti are for example well suited as doping element.

5 The second intermediate layer has a thickness which is preferably between 0.01 and 5  $\mu\text{m}$ . More preferably, the thickness is between 0.1 and 1  $\mu\text{m}$ , for example between 0.2 and 0.5  $\mu\text{m}$ .

10 The second intermediate layer may be deposited by any technique known in the art. A preferred technique comprises chemical vapor deposition (CVD), such as plasma assisted chemical vapor deposition (PACVD).

#### Diamond-like carbon coating

15 The diamond-like carbon coating comprises amorphous hydrogenated carbon (a-C:H).

Preferably, a diamond-like carbon coating comprises a mixture of  $\text{sp}^2$  and  $\text{sp}^3$  bonded carbon with a hydrogen concentration between 0 and 60 at%.

20 The DLC coating may be metal doped, for example to influence the electrical conductivity of the coating. Preferred doping elements are transition metals of Group IV to VII such as W, Zr and Ti.

25 The thickness of the DLC coating is preferably between 0.1 and 10  $\mu\text{m}$ .

The DLC coating may be deposited by any technique known in the art. A preferred technique comprises chemical vapor deposition (CVD), such as plasma assisted chemical vapor deposition (PACVD).

30 Although the applicant does not want to be bound to any theory, it is believed that the gradation of the hardness of the layers is important to obtain the good results of a layered structure according to the present invention.

In the case a titanium layer is used as intermediate layer for a DLC coating, there is a soft substrate with a layer of soft Ti. DLC deposited on such a stack is prone to puncturing.

5 In the layered structure according to the present invention comprising a first intermediate layer and a second intermediate layer comprising DLN, the second intermediate layer provides extra support. Furthermore, the second intermediate layer, having a hardness in between the hardness of the first intermediate layer and the hardness of the DLC coating, provides a cushioning effect.

10 Possibly, the layered structure according to the present invention further comprises one or more additional layers as for example a layer comprising a diamond-like nanocomposite composition on top of the diamond-like carbon layer.

15 In another embodiment, the layered structure further comprises a diamond-like nanocomposite layer on top of the diamond-like carbon layer and a diamond-like carbon layer on top of the diamond-like nanocomposite layer.

20 It is clear for a person skilled in the art that the number of the additional layers can be varied according to the desired properties of the layered structure.

25 The top layer of the layered structure can be chosen depending on the desired properties of the layered structure and depending on the application.

When a DLC layer is deposited on top of the layered structure, the hardness and low-wear characteristics, typical for a DLC type coating prevail. This implies that by depositing a DLC layer on top of the layered structure a high wear and abrasion resistance coating is obtained.

30 Thicknesses higher than these of conventional DLC coatings can be deposited in this way.

In the case a DLN coating is deposited as top layer, the layered structure is characterized by a low surface energy and by a low friction



coefficient. Such a layered structure is in particular suitable as non-sticking coating.

5 According to a second aspect of the invention, a substrate covered at least partially with a layered structure as described above is provided.

A great advantage of the layered structure according to the present invention is the high corrosion protection that may be obtained because of the good surface coverage.

10 The surfaces of the substrate that are not coated or not coated well with the first intermediate coating because they are not exposed to the beam of atom(s) of group IVB, group VB or group VIB will be protected by the second intermediate layer and the diamond-like carbon layer.

15 According to a third aspect of the invention, the use of a substrate covered at least partially with a layered structure as described above for high impact and/or high shear applications is provided.

20 The substrate according to the present invention can be used for high impact and/or high shear applications because of the strong adhesion of the DLC coating to the substrate by means of the first and second intermediate layer.

25 Examples of high impact and/or high shear applications comprise for example aluminum metal forms for beverage containers, for automotive radiator baffles, neck rings used for plastic preforms, tappets and wrist pins for engine applications, components for textile machinery, etc.

According to a further aspect of the invention, a method to cover a substrate with a layered structure is provided.

The method comprises the steps of

- 30
- providing a substrate;
  - applying a first intermediate layer, said first intermediate layer comprising at least one element of group IVB, group VB or group VIB, such as titanium and/or chromium;

- applying a second intermediate layer, said second intermediate layer comprising a diamond-like nanocomposite composition;
- applying a diamond-like carbon layer.

5 If desired, the deposition of the first intermediate layer may be completely stopped before the application of the second intermediate layer. In this way a reproducible transition between the layers can be obtained.

10 **Description of the preferred embodiments of the invention.**

The characteristics of three different coating types are compared. The coating types are deposited on a hardened steel substrate.

The three coating types are subjected to a Rockwell adhesion test and to a scratch adhesion test.

- 15 a) The first coating type comprises a layered structure of a DLN layer and a DLC layer deposited on top of this DLC layer;
- b) The second coating type comprises a layered structure of a titanium layer and a DLC layer deposited on top of this titanium layer. The composition of the titanium layer is gradually changed from a titanium layer to a DLC layer.
- 20 c) The third coating type comprises a layered structure according to the present invention. This layered structure comprises a titanium layer, a DLN layer deposited on top of the titanium layer and a DLC layer deposited on top of the DLN layer. There is no gradient between the titanium and the DLN layer, whereas the
- 25 DLN layer is gradually changing to a DLC layer.

30 The adhesion of the second and third coating type measured by means of the Rockwell C indentation test is better than the adhesion of the first coating type.

The HF value for the first coating type is HF 3-5. For the second coating type a HF value 1-3 is measured, whereas for the third coating type a HF value 1-3 is measured.

The critical load to obtain delamination in a scratch adhesion test is for the third coating type between 22 and 35 N. For the first coating type a critical load between 15 and 30 N is obtained; for the second coating type a critical load between 15 and 27 N is obtained.

5 Comparison of the scratch adhesion of the different coatings indicates that the third coating type gives the best  $Lc_2$ -values.

A coating of the first type does not perform well in high shear and high impact loading applications.

10 A coating of the second type performs slightly better under high impact loading. However, under high shear loading there is possibility of wear and coating removal.

A coating of the third type performs very well under both high impact and high shear applications.

15 A coating of the second type and a coating of the third type are compared in a high impact aluminum sheet metal forming application. The coating of the third type provides an increased life time. Compared to the life time of a coating of the second time the life time of a coating of  
20 the third type is 3 to 4 times longer.

**CLAIMS**

1. A layered structure comprising
  - a first intermediate layer, said first intermediate layer comprising at least one element of group IVB, group VB or group VIB;
  - a second intermediate layer deposited on top of said first intermediate layer, said second intermediate layer comprising a diamond-like nanocomposite composition;
  - a diamond-like carbon layer deposited on top of said second intermediate layer.
2. A layered structure according to claim 1, whereby said first intermediate layer comprises titanium and/or chromium.
3. A layered structure according to claim 1 or 2, whereby said structure further comprises at least a layer comprising a diamond-like nanocomposite composition on top of said diamond-like carbon layer.
4. A layered structure according to any one of the preceding claims, whereby said first intermediate layer has a thickness between 0.001 and 1  $\mu\text{m}$ .
5. A layered structure according to any one of the preceding claims, whereby said second intermediate layer has a thickness of 0.01 to 5  $\mu\text{m}$ .
6. A layered structure according to any one of the preceding claims, whereby said diamond-like carbon layer has a thickness between 0.1 and 10  $\mu\text{m}$ .
7. A layered structure according to any one of the preceding claims, whereby said nanocomposite composition comprises in

proportion to the sum of C, Si, and O in at% 40 to 90 % C, 5 to 40 % Si, and 5 to 25 % O.

- 5
8. A layered structure according to any one of the preceding claims, whereby said second intermediate layer comprises a metal doped diamond-like nanocomposite composition.
- 10
9. A layered structure according to any one of the preceding claims, whereby said diamond-like carbon layer is doped with a metal.
10. A substrate covered at least partially with a layered structure according to any one of claims 1 to 9.
- 15
11. The use of a substrate according to claim 10 for high shear and/or high impact applications.
- 20
12. A method to cover a substrate with a layered structure according to any one of claims 1 to 10, whereby said method comprises the steps of
- providing a substrate;
  - applying a first intermediate layer, said first intermediate layer comprising at least one element of group IVB, group VB or group VIB;
  - applying a second intermediate layer, said second intermediate
- 25
- layer comprising a diamond-like nanocomposite composition;
  - applying a diamond-like carbon layer.

**Abstract**

The invention relates to a layered structure comprising

- 5       - a first intermediate layer, said first intermediate layer comprising at least one element of group IVB, group VB or group VIB;
- a second intermediate layer deposited on top of said first intermediate layer, said second intermediate layer comprising a diamond-like nanocomposite composition;
- 10       - a diamond-like carbon layer deposited on top of said second intermediate layer.

The invention further relates to the use of a substrate coated with such a layered structure for high shear and/or high impact applications and to a method to cover a substrate with such a layered structure.

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/EP04/013676

International filing date: 30 November 2004 (30.11.2004)

Document type: Certified copy of priority document

Document details: Country/Office: EP  
Number: PCT/EP03/50923  
Filing date: 02 December 2003 (02.12.2003)

Date of receipt at the International Bureau: 01 March 2005 (01.03.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record.**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☐ **FADED TEXT OR DRAWING**

☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image  
problems checked, please do not report these problems to  
the IFW Image Problem Mailbox.**